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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 081862.P064C

Total Pages 5

First Named Inventor or Application Identifier Robert A. Land

Express Mail Label No. EL371011415US

ADDRESS TO: Assistant Commissioner for Patents
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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. x Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. x Specification (Total Pages 57)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. x Drawings(s) (35 USC 113) (Total Sheets 6) (copy)
4. x Oath or Declaration (Total Pages 6)
 - a. Newly Executed (Original or Copy)
 - b. x Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. x Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

7. _____ Nucleotide and/or Amino Acid Sequence Submission
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ACCOMPANYING APPLICATION PARTS

8. _____ Assignment Papers (cover sheet & documents(s))
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 x b. Power of Attorney
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Robert A. Land et al.)	
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For: METHOD AND APPARATUS FOR PROVIDING MULTIPLE)	
MANAGEMENT INTERFACES TO A NETWORK DEVICE)	
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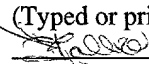
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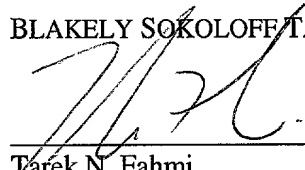

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Serial/Patent No.: *** Filing/Issue Date: Herewith

Client: CISCO TECHNOLOGY, INC.

Title: METHOD AND APPARATUS FOR PROVIDING MULTIPLE MANAGEMENT INTERFACES TO A NETWORK DEVICE

BSTZ File No.: 81862.P064C Atty/Secty Initials: TNF/pab

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Patent

UNITED STATES PATENT APPLICATION

FOR

METHOD AND APPARATUS FOR PROVIDING MULTIPLE MANAGEMENT
INTERFACES TO A NETWORK DEVICE

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METHOD AND APPARATUS FOR PROVIDING MULTIPLE MANAGEMENT
INTERFACES TO A NETWORK DEVICE

FIELD OF THE INVENTION

5 The present invention relates to network devices, and more specifically, to a method and apparatus for providing multiple management interfaces to a network device.

BACKGROUND OF THE INVENTION

10 As the "information superhighway" becomes a part of daily life, the task of managing the devices used to convey the digital traffic from one site to another becomes increasingly important. Such devices generally include relatively expensive backbone devices, and relatively inexpensive network devices referred to as access devices. Access devices include, for example, bridges, routers, hubs, and
15 multiplexers. The user interface software used to manage an access device is referred to herein as the management interface to the access device.

 Users expect the management interface to a network access device to be navigable, speedy, and safe. With respect to navigability, the interface should enable a novice user to perform routine operations. With respect to speed, the interface
20 should permit a fluent user to meet a network problem with a timely response. With respect to safety, the software providing the management interface should enforce privilege levels and reconfirm commands which will impact service.

Over the past decade, management interfaces evolved from monitor prompts for setting registers, to forms-based dialogs, menuing systems, command languages and "natural language" parsers. A typical interface is accessed over a Telnet connection or by attaching a terminal to a console port on the device. Figure 1

5 illustrates a typical command language interface for a router. Text prompts and user hints aid navigability and safety, while fluent users are permitted to issue terse, short-cut commands to enhance speed.

The internal configuration of an access device consists of thousands of bytes of state information at the hardware level. For example, the internal configuration of a
10 typical multiprotocol concentrator consists of 32,000 to 128,000 bytes of state information. A management interface hides some of this complexity by grouping related values together into much smaller number of "configuration variables." A management interface may further simplify the task of managing the device by supplying default profiles or templates for particular applications that will get a device
15 running well enough to allow subsequent tuning.

To provide system managers the power required for some tasks, management software must be capable of altering every byte of state information on a device. If the device is enhanced with a new feature, the management software must be modified to access the hardware, manage a comprehensive set of configuration variables, and
20 provide appropriate defaults. Furthermore, the interface of the management software must continue to be as navigable, fast and safe as the interface was before the enhancements were made. For example, the management software must be updated to

prompt with warnings where new configuration options conflict with pre-existing ones.

5 A significant amount of the software effort involved in expanding the functionality of an existing access device is spent in adapting the management interface and resolving backward-compatibility, navigability and safety issues. Consequently, adding a small feature is seldom a small task. In some cases, release of features requested by customers may be delayed or blocked entirely because of the high cost of modifying and regression-testing the management interface.

10 As a further complication, users often automate their routine management tasks. When hardware lacks a machine-machine interface, communications scripts access the text interface and parse the responses. A new release of management software that alters the format of a date or the indent level of a menu may be rejected by users who refuse to upgrade because the cost of altering their automated data collection outweighs the benefit of the additional functionality.

15 To overcome some of the limitations inherent in management interfaces that rely on automated text-based interaction, a Simple Network Management Protocol (SNMP) agent may be embedded within the management software that resides on an access device. An SNMP agent is a computer program that mediates access to the configuration variables of a device through a Management Information Base (MIB).
20 Typically, a user does not interact directly with the SNMP agent. Rather, the user interacts with management software that includes a SNMP manager. The management software containing the SNMP manager does not reside on the same machine as the SNMP agent. Rather, the SNMP manager runs on a workstation which communicates

over a network with the SNMP agent according to the SNMP protocol. When SNMP management is used to configure features unique to a device produced by an enterprise, an enterprise-specific MIB is typically created and published to allow automated device management through third-party SNMP manager applications,
5 eliminating the need for scripts.

One benefit of SNMP-based network management is that the workstation-based SNMP manager can specialize in managing the user interface while the on-board SNMP agent can specialize in checking the consistency and safety of user commands. The manager can use this division of labor to advantage, supporting
10 multiple user interfaces of different styles, such as forms, dialogs and graphical displays.

The use of an SNMP manager may also improve the safety of the user interface. For example, where the original logic on-board the device may have warned the user of inconsistencies at the device level (e.g., when a loop-back was requested
15 for a trunk currently in use), a workstation-based SNMP manager, having information about the surrounding network, may provide more sophisticated warnings (e.g., alerting the user that a looped-back trunk is scheduled to carry a teleconference in five minutes). Community names and MIB views may be used to enforce privilege classes for critical operations.

20 To control what the user of an SNMP manager can do or see, designers have created add-on applications for SNMP managers. Add-on applications are pieces of the device interface which run on a remote platform. Because add-on applications must be designed for a specific SNMP manager on a specific platform, most add-on

application developers only support one or a few of the most popular SNMP managers and the most popular platforms. These add-on applications must evolve as the device evolves, track changes in the chosen SNMP Manager(s) API(s), and be ported to new network management platforms that achieve market acceptance. This often consumes disproportionate development resources. The greater the variety of management platforms in use among customers, the worse the drain on development resources.

Figure 2 illustrates a "bilingual" access device 200 that allows access to configuration data 206 through both a text-based interface 208 and an SNMP agent 210. To access the configuration data 206 through the SNMP agent 210, a network manager interacts with the user interface provided by software containing an SNMP manager 204. The SNMP manager 204, which is typically located on a workstation (network management station 202) separate from but on the same network as access device 200, communicates with the SNMP agent 210 over the network in response to the commands of the user. When network management is performed through the SNMP agent 210, the SNMP manager 204 is mainly responsible for the navigability, network level safety and speed of the interface, while the SNMP agent 210 is mainly responsible for the device-level safety.

The text-based interface 208 provides the network manager or an operator a second access path to the configuration data 206 of the access device 200. Although the text-based interface 208 is typically less sophisticated than the user interface of the SNMP manager 204, the text-based interface 208 resides entirely on the access device and therefore does not require a network connection. Consequently, the text-based

interface 208 may be the preferred access path for certain management operations, such as field service and testing.

Although SNMP-based management generally makes the task of managing network devices easier for users and eliminates the worst constraints of the text interface, the use of SNMP-based management adds to the difficulties of system designers. For example, allowing the SNMP agent access to the set of configuration variables previously managed by the text interface compromises the safety of the system. Even if the designer supplies the SNMP agent with all of the safety logic that is explicitly coded into the text interface, some additional safety logic will not be explicitly documented because such logic is simply a side-effect of the interface design. Consequently, new code must be written to ensure safety/consistency and detect race conditions between the two paths allowed to modify the configuration of a single device.

Although re-engineering the access device interface is difficult, maintaining a text-based interface co-equal with an embedded SNMP agent is even more difficult. Safety improves if the SNMP agent is the sole interface to configuration variables. With appropriate add-on software, the interface from a central SNMP manager may be made as fast as a monolithic interface, and the graphical interface of the SNMP manager is more navigable than that of a monolithic interface.

However, eliminating the text-based interface and relying exclusively on control through an SNMP manager has the disadvantage that the access device loses control over navigability and speedy access to its own configuration variables. Such

control is lost because an external SNMP manager must mediate the presentation of information to the user.

- Users require that access devices be easily configured and managed. As access devices grow more capable and are deployed in more applications, monolithic
- 5 embedded management software exacts a high development cost, making it difficult to evolve existing products which could otherwise exploit new market opportunities.
- Hybrid solutions that rely on external management applications, packing SNMP agents and traditional text interfaces into one system, add complexity to the system software and put robustness at risk.

SUMMARY AND OBJECTS OF THE INVENTION

It is desirable to provide an access device that can be configured over a network through an interface that supports Simple Network Management Protocol. It is further desirable to provide an access device that can be configured locally through a text-based interface. Additionally, it is desirable to provide an access device that supports multiple management interfaces but that avoids the complexities and security problems of co-equal configuration access paths. An object is to provide a method and apparatus to address these seemingly incompatible goals.

An access device that supports multiple management interfaces is provided.

10 The management interface of the access device is partitioned into specialized software objects with standardized protocol interfaces. By partitioning the management interface, the management interface may be more easily modified, enhanced, and customized for specific applications.

According to one aspect of the invention, the management interface of an access device combines an embedded SNMP agent to manage the state variables of the system, an on-board SNMP manager whose control interface is an HTTP server, and a text-based HTTP client accessible either via a Telnet connection or a physical console port.

This provides both "text" and "network management station" interfaces that utilize the standard SNMP protocol to access system configuration. Thus, as system software is enhanced, only one interface to the system software need be maintained, making the system software inherently easier to modify, enhance and custom-tailor for specific applications than current architectures.

Other objects, features, and advantages of the present invention will be apparent from the accompanying drawings and from the detailed description that follows below.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example, and not by way of limitation, in the figures of the accompanying drawings and in which like reference numerals refer to similar elements and in which:

5 Figure 1 illustrates a typical command language interface for a router;

 Figure 2 illustrates a "bilingual" access device that allows access to configuration data through both a text-based interface 208 and an SNMP agent;

 Figure 3 illustrates a computer network that includes two access devices configured according to an embodiment of the invention;

10 Figure 4 is a block diagram illustrating an access device 400 with three distinct interface layers according to an embodiment of the invention;

 Figure 5 illustrates entries for a Frame Relay trunk contained in files used by a combined HTTP server/SNMP manager to generate HTML pages for managing the Frame Relay trunk; and

15 Figure 6 illustrates the graphical user interface an HTTP client may generate in response to the HTML text generated by an HTTP server/SNMP manager embedded in a Frame Relay trunk.

DETAILED DESCRIPTION

A method and apparatus for providing a plurality of management interfaces within an access device is described. In the following description, for the purposes of explanation, numerous specific details are set forth in order to provide a thorough
5 understanding of the present invention. It will be apparent, however, to one skilled in the art that the present invention may be practiced without these specific details. In other instances, well-known structures and devices are shown in block diagram form in order to avoid unnecessarily obscuring the present invention.

According to one embodiment, an access device is provided in which an
10 embedded text interface is a client of an embedded SNMP interface. As a client, the text interface does not include routines to access the configuration data of the access device directly. Rather, all access to the configuration data is performed by communicating with the SNMP agent according to the SNMP protocol. Because all access is performed through the SNMP agent, the text interface does not provide a
15 distinct access path to the configuration data. Consequently, the security problems associated with multiple access paths are avoided.

A device that supports an embedded SNMP agent already contains most of the code necessary to implement a simple SNMP manager. For far less development effort than that required to maintain both text and SNMP interfaces side-by-side, it is
20 possible to implement a simple SNMP manager and include it in embedded software. Unlike the add-on applications considered above, the on-board SNMP manager need only keep step with the hardware of the device on which it runs. Unlike the monolithic text-based interface, the SNMP manager browses the MIB using the same SNMP

interface as an external management workstation. The SNMP agent code, with sole access to the configuration variables, can unilaterally maintain the hardware in a consistent state.

Figure 3 illustrates a computer network that includes two access devices 300 and 324 configured according to an embodiment of the invention. Access devices 300 and 324 respectively include configuration data 306 and 316, on-board SNMP agents 310 and 340, and text-based interfaces 308 and 318 combined with SNMP managers 320 and 330.

The multiple-layer interface design of access devices 300 and 324 provides a network manager with multiple options with respect to accessing configuration data. For example, a network manager may configure access device 300 by interacting with the interface provided by an SNMP manager 304 on a remote network management station 302. The SNMP manager 304 communicates with the on-board SNMP agent 310 according to the SNMP protocol, and the SNMP agent 310 performs all direct access to the configuration data 306.

Alternatively, the network manager may interact with the on-board text-based interface 308. In response to user commands issued to the text-based interface 308, the on-board SNMP manager 320 communicates with the on-board SNMP agent 310 according to the SNMP protocol. The SNMP agent 310 performs all direct access to the configuration data 306.

As a third alternative, the network manager may interact with the on-board text-based interface 318 of one access device 324 to configure another access device 300 on the same network. In response to user commands issued to text-based

interface 318, the SNMP manager 330 communicates over the network with the SNMP agent 310 of access device 300. Again, all direct access to the configuration data 306 is performed by SNMP agent 310 in response to the SNMP messages that SNMP agent 310 receives.

5 The on-board SNMP manager 320 of access device 300 is aware of the network and can provide an interface optimized for speed and navigability. The user interface may duplicate the look-and-feel of a text menu system, accessed by telnet or console login to the device. Such an interface guarantees a certain level of speed and navigability for users. However, in this embodiment, add-ons for a proliferating
10 number of dedicated SNMP management workstations are still required to give users graphical access to configuration functions.

 Users prefer graphical displays, but it is not economical for a network device to dedicate to its embedded user interface the bandwidth and processing power necessary to drive a graphical user interface, such as an embedded X Windows
15 display. To provide navigability and speed in a graphical environment, an on-board SNMP manager requires a mechanism for retaining control of the data passed through the graphical interface (low resource consumption) while delegating support of the graphics itself (high resource consumption) to the user's workstation. According to an embodiment of the invention, a Hypertext Transport Protocol (HTTP) interface layer
20 is added to access devices to provide this functionality.

 HTTP is a platform-independent protocol which separates the task of navigating data from the data itself. Built into the protocol is support for a range of displays from simple text terminals to multimedia workstations. Just as a monolithic

management system displays text, the HTTP server presents text that is formatted according to Hypertext Markup Language HTML (also referred to as hypertext). The hypertext produced by an HTTP server is interpreted by an HTTP client (a "browser"). HTML is a combination of plaintext and "tags." Tags are embedded references to additional hypertext or to displayable objects such as bitmaps, sound files, or applications. The tag attributes indicate the type of object associated with the tag. The text that marks the beginning and/or end of a tag is referred to as an anchor. Browsers with varying capabilities interpret tags in different ways.

Figure 4 is a block diagram illustrating an access device 400 with three distinct interface layers 422, 424 and 426, one of which includes an embedded HTTP server 414 according to an embodiment of the invention. Interface layer 426 includes an SNMP agent 418 and is the only interface layer with direct access to the configuration data 420 of the access device 400. Because the SNMP agent 418 is the only module that will directly access the configuration data 420, all of the device-level safety mechanisms may be built into SNMP agent 418 without introducing the security problems inherent in co-equal interfaces.

THE SNMP CLIENT INTERFACE LAYER

All configuration of access device 400 is performed by communicating with SNMP agent 418 according to the SNMP protocol. For example, a user could interact with an SNMP manager on a network management station. In response to the commands of the user, the SNMP manager sends messages to SNMP agent 418 using the SNMP protocol. In response to the messages, SNMP agent 418 performs

changes to the configuration data 420. The SNMP agent 418 transmits responses to the SNMP manager using the SNMP protocol.

Although a network management station is a likely source of the messages received by SNMP agent 418, SNMP agent 418 is not limited to interaction with a
5 network management station. As was explained above with reference to Figure 3, the SNMP manager used to access SNMP agent 418 may reside within access device 400 itself, or within another access device on the same network as access device 400.

THE HTTP SERVER/SNMP MANAGER INTERFACE LAYER

10 Interface layer 424 is a combined HTTP server 414 and SNMP manager 416. HTTP server 424 transmits hypertext to HTTP clients that connect to HTTP server 424. The hypertext sent by HTTP server 424 includes text to cause the HTTP client to display a user interface with controls for configuring access device 400.

The user interface may include many layers of hypertext "pages." The initial
15 page generated for an access device is referred to as the "home page" of the access device. Each HTML page typically includes links which, when selected by a user of the HTTP client, cause information embedded in the currently-displayed HTML page to be sent from the HTTP client back to the combined HTTP server/SNMP manager. In response to receiving this information, the combined HTTP server/SNMP manager
20 may perform one or more operations associated with the link. For example, the HTTP server/SNMP manager may execute a script file to "set" an SNMP variable, retrieve the current value for an SNMP variable, and/or generate and transmit additional HTML pages.

The HTML pages display current values from the configuration data 420. SNMP manager 416 communicates with SNMP agent 418 according to the SNMP protocol to obtain the current configuration settings. The HTTP server 414 embeds the values at the appropriate locations within an HTML page and transmits the HTML page to the HTTP client.

An HTTP client generates a user interface in response to the HTML page. A user may interact with the interface provided by the HTTP client to specify a change to configuration data 420. The HTTP client transmits an indication of the user's action to the HTTP server 414 using HTTP protocol. The SNMP manager 416 communicates with SNMP agent 418 according to the SNMP protocol to cause SNMP agent 418 to make the changes specified by the user of the HTTP client.

The information sent back to an HTTP server in response to selection of a link is determined by an "anchor" associated with the link that is stored in the HTML document that contains the link. As shall be explained in greater detail below, a mechanism for storing SNMP information in the anchors within an HTML document is provided. For example, the anchor associated with a link may include a string of numbers that uniquely identify a MIB object associated with the link. When a user selects a link, the SNMP information in the anchor associated with the link is transmitted back to the combined HTTP server/SNMP manager.

The SNMP information sent back when a link is selected may be used as parameter values for a script that is executed in response to selection of the link. For example, the selection of a link associated with a particular MIB object may cause the HTTP client to transmit back to the combined HTTP server/SNMP manager a numeric

identifier for the MIB object and a new value for the MIB object. In response, the combined HTTP server/SNMP manager may execute a script which transmits commands to the SNMP agent of a device to cause the configuration data associated with the MIB object to be changed to the specified value.

- 5 The SNMP information sent back with the link information may include an identifier for an SNMP row. In response to receiving the identifier for a particular SNMP row, the combined HTTP server/SNMP server queries the SNMP agent for the current values of the configuration data in the SNMP row and generates HTML text for a page to display the values for the selected SNMP row. An exemplary page-
10 generation operation shall be described in greater detail below.

- According to one embodiment, the HTTP server puts an HTML anchor for each variable displayed in an HTML page. The anchor for a given variable contains information which specifies what table row is being displayed, the SNMP OID (i.e., Object Identifier) of the variable, and a new value for the variable. If the user selects
15 the link associated with the anchor, the information within the anchor is sent back to the HTTP server. The HTTP server uses the OID and the new value to perform an SNMP "set" operation to set the appropriate configuration data to the new value. The HTTP server then causes the HTTP client to redisplay the page with the new value.

- Appendix I includes an exemplary HTML document that may be sent by
20 HTTP server 414 to an HTTP client if access device 400 were a Frame Relay trunk. The HTML document illustrated in Appendix I causes an HTTP client to generate a user interface that enables a user to view and modify configuration parameters of a Frame Relay trunk.

As mentioned above, the actual interface generated by the HTTP client depends on the capabilities of the HTTP client. For example, a text-based HTTP client will display the text from the HTML fragment and allow the user to make configuration changes via keystrokes. A graphical HTTP client will provide a richer display and allow the user make changes with mouse-clicks. Figure 6 illustrates the interface that would be generated by a graphical HTTP client in response to receiving a HTML page in Appendix I from HTTP server 414. If the HTTP client is connected to the Internet, the user may request appropriate standards documentation explaining the LMI to be brought on-screen from a repository site.

HTTP server 414 can generate HTML documents that make extremely rich displays of information, like that shown in Figure 6, available to users. However, the information that may be accessed though the interface is not limited to information stored on access device 400. For example, the hypertext generated by HTTP server 414 may contain tags to data stored in support files elsewhere on the network or on the Internet. Such support files may include, for example, support files for graphical browsing of a product. Such support files might be distributed to customers on tape or CD as an added-cost feature and deployed on a storage server anywhere on the network, allowing the HTTP server interface of any access device on the network to include extensive help or detailed diagrams in its user interface, by means of tags.

HTML supports Hotspots and Common Gateway Interface (CGI) scripts. Hotspots are regions of an image which, when selected by a user, causes an HTTP server to perform specified actions. CGI scripts are scripts that can interact with the HTTP server. The interface generated by the HTTP clients that access HTTP server

414 may allow a user to query and configure access device 400 using intuitive point-and-click techniques. Consequently, network managers are not limited to a specified central SNMP Network Manager. The graphical interface can be implemented using Hotspots and tags that reference CGI scripts and standard-format bitmap files on a
5 storage-server external to access device 400.

THE TEXT-BASED HTTP CLIENT INTERFACE LAYER

Interface layer 422 includes a text-based interface 410 and an HTTP client 412. A user may access the text-based interface either via telnet or a physical console
10 port. In response to commands from a user received by the text-based interface, the HTTP client 412 communicates with HTTP server 414 according to HTTP protocol. In response to the messages received by HTTP server 414, SNMP manager 416 communicates with SNMP agent 418 according to the SNMP protocol. In response, the SNMP agent 418 accesses the configuration data 420 to perform the operation
15 specified by the user.

When access device 400 is working and is connected to the network, it may be reconfigured by any HTML browser on the network, as described above. When a hardware, software or configuration problem makes the device unreachable from the workstation through TCP/IP, the embedded text-based browser 422 is an essential
20 fail-safe component of the system software. Configuration, therefore, can involve a maximum of three on-board software objects: the SNMP agent, the SNMP manager/HTTP server and the HTTP client (the browser). The embedded browser uses the same interface to the HTTP server that an external browser would, and, to the

SNMP agent, the SNMP manager object is indistinguishable from an external manager. If the device can connect over the network, its text browser can be used to query and configure any neighboring device that supports HTTP.

5 ENHANCED DEVICE MANAGEMENT INTERFACES

 An access device implementing the architecture illustrated in Figure 4 is capable of offering services to users that are not presently available on prior art access devices. For example, the HTML document generated by an embedded HTTP server may include links to information at a corporate help desk. The information from the
10 help desk could advise customers at any customer site attempting a particular configuration about problems associated with the particular configuration. The messages from the help desk would appear in the hypertext display that is generated from data sent from the HTTP server embedded in the access device being configured.

 The hypertext display that is generated from data sent from an embedded
15 HTTP server could also contain context-sensitive help for network managers. The context-sensitive help could be produced from existing documentation through automatic conversion tools and made available on CD ROM or magnetic tape. Such conversion tools include, for example, LaTeX2html generally available for download from the Computer-Based Learning Unit at the University of Leeds
20 (<http://cbl.leeds.ac.uk>) and RTFtohtml generally available from available for download from the Cornell Theory Center at Cornell University
 (<http://www.tc.cornell.edu>).

The command syntax of any network device with a pure text interface allows some command sequences to be speedier than others. With a configuration interface organized for hypertext browsing, however, a single embedded HTTP server can support multiple interface topologies. Users employing the same type of access device in different applications can control their devices through different browser interfaces by collecting the URLs associated with various sections of the configuration interface into a custom top-level menu called a Home Page. Significantly, the different browser interfaces may be provided in this fashion even though identical code is running on every piece of communication hardware.

10

AUTOMATED GENERATION OF HTML PAGES FROM THE MIB

One embodiment of the invention provides an automated translation mechanism that generates HTML pages from the source MIB document. This feature, while not required by the multiple management interface mechanism described herein, adds to the maintainability and extensibility of the system.

15

According to one embodiment, the automatic page generation mechanism includes a number of scripts which may run on the HTTP server. When executed, these scripts perform the function of reading the browser requests and generating the appropriate SNMP requests, if any, required to respond to the browser requests. The scripts also read files which are output by a MIB compiler, generate the appropriate SNMP to obtain the current MIB parameter values, and generate the HTML pages which display the current MIB values to the user. The generated HTML pages also include interface controls that allow the user to change the MIB values.

20

One embodiment of the invention employs three specific scripts: mibpage.c, imagemapvar.c and mibscript. Mibpage.c and imagemapvar.c are written in the C programming language, while mibscript is written in the PERL programming language. The names and programming languages of these scripts is merely
5 exemplary. The present invention is not limited to any particular scripts, or any particular programming languages.

The mibpage.c script is used to output manually formatted HTML pages. These manually formatted HTML pages will usually be "home pages", which have links which lead to various sections of the MIB. Mibpage.c performs argument
10 substitution so that later scripts will have information about which specific item is being referenced, for example port 7 or slot 3.

The imagemapvar.c script is used to process image maps. Imagemapvar.c is similar to the conventional scripts for processing image maps, except that imagemapvar.c has the added capability of doing argument substitution.

15 The mibscript script receives a table row from a MIB. Mibscript then walks through various MIB compiler output files to obtain type and range information for each MIB variable in the row. Mibscript then generates the appropriate SNMP to obtain the current value of the MIB variables. Mibscript then formats hypertext links or form entry boxes, as appropriate, to allow the user to change the value of any of the
20 MIB variables.

In one embodiment, the automatic translation process is simplified by encoding enough information into the hypertext link associated with a given MIB variable that the SNMP object identifier ("SNMP OID") of the variable to be changed,

as well as the new value to which it should be changed, are encoded in the URL field of the hypertext link. As a result, the user can select or click on the link, and the URL containing the information needed to perform the SNMP "set" operation will be sent back to the HTTP server. By sending this information to the HTTP server in this manner, the script file receiving this URL can perform the SNMP "set" request without having any specific knowledge of the variable being changed or the semantics of the new value.

One embodiment of the automatic translation from MIB to HTML involves some extensions to the HTML conventions in order to impose a structure onto how the MIB information is encoded into the HTML language elements. Prior to explaining specific extensions to HTML conventions, a brief description of some of the principles and conventions of HTML will be given.

HTML

In general, an HTTP server can send text to a browser, which the browser will format and display. In addition to the text that is displayed by the browser, HTML also supports anchors, imagemaps, scripts and forms. Each of these components shall be described in greater detail below.

An anchor is a set of information consisting of (1) a text string or picture, and (2) a file name which is to be accessed if the text string or picture is selected. Anchors are used to describe the next "file" to be accessed, reflecting the "state" of the session. Anchors are also commonly referred to as hypertext links.

An imagemap is a picture which is specially configured so that the pixels where the user clicks will be sent back to the server. Specifically, when a region of an imagemap is selected, a browser sends to the HTTP server a string (stored in argv[1]) that identifies the region of the imagemap that was selected.

5 A script is a program which may be executed (or interpreted) on the server system. Typically, scripts are used to process input and format output. For example, when a region of an imagemap is selected, the HTTP server may use a script to process the click pixels and decide on the next HTML file to use. Scripts are often identified by their path name.

10 According to HTML convention, the path name of the script files for an HTTP server begins with /cgi-bin/. When a path with /cgi-bin/ is detected, the HTTP server executes the file /cgi-bin/<executable>. If the specified "next file" is /cgi-bin/<executable>/<a>//<c> where a, b and c are any string which comprise a legal filename, the /<a>//<c> will be passed as an environment variable to the
15 script. The script may process the filename before opening the file. Thus part or all of the filename may be other state information not related to a file name.

Some of the HTML display may comprise a form. A form consists of various types of buttons and text fields. Each button is assigned a NAME and a VALUE string. Each text field is assigned a NAME and the user supplies a VALUE string by
20 typing text into the text field. The server may pre-enter text into the VALUE field of a text field. Form information is sent back to a script. The name of the script that receives the form information is specified in the HTML "form" statement. As described above, the script may be specified as /cgi-bin/<executable>/<a>//<c>.

The form information will be returned in the form <name>=<value> for every text field on the page, and for every button which is "pressed" or selected.

EXTENSIONS TO HTML

5 As mentioned above, in one embodiment of the invention, extensions were added to a conventional HTML server to facilitate its use in an embedded SNMP-HTML system. These extensions are implemented in script files which are part of the HTML-SNMP system. These scripts implement inline commands, parameters, parameter substitution and random number substitution. Each of these extensions
10 shall be described in greater detail below.

 As stated above, the format of the file string passed to a script file may be modified to pass additional information. It is then up to the script file to unmodify the file name in order to be able to access the desired file. According to one embodiment of the invention, the filename is modified in systematic ways to allow additional
15 information to be passed between the HTTP server and HTTP client. One technique for modifying the filename is by appending inline commands to the filename. According to one embodiment, these inline commands begin with a /- followed by the specified command (optionally with the command's arguments) and are terminated by the next / character (or the end of the file name). Some examples of the use of the
20 inline commands are /-conf, /-newkey /-args and /-rnd. These inline commands are described in more detail hereafter.

 According to one embodiment of the invention, the SNMP-HTML system is also modified to allow the use of general arguments. General arguments are used to

pass the current "state" of various values from the HTTP client back to the HTTP server. These general arguments are used as call-by-value numeric subroutine parameters. These parameters specify which specific MIB item is referenced, for example slot 7 or port 1. As a further example, slot 3 port 2 would cause the arguments 3 and 2 to be passed as the general arguments to the "configure port" page.

Arguments may be specified in one of two formats. Specifically, arguments may either appear at the end of the file name, as a dot separated list, or as a /-args command with a dot separated list. The following are examples of arguments for an input to the configure-framerelay-connection page for slot 3 port 2 DLCI 100:

```
10    /cgi-bin/mibpage/<dir>/<file>/-<other command>/-<other_command>/3.2.100

    /cgi-bin/mibpage/<dir>/<file>/-<other_command>/-args3.2.100/-
    <other_command>

    /cgi-bin/mibpage/<dir>/<file>/-<other_command>/-args.3.2.100/-
    <other_command>
```

15 When arguments are passed into the server script, the server script makes use of those arguments through argument substitution. As described above, both the mibpage.c and the imagemapvar scripts perform this substitution. As the server script reads the .html or .map file, the server script will look for \$<number>. The server script will substitute argument <number> for that string. Thus for the above situation,

20 the title or banner section of the .html or .map file to configure the frame relay connection could be:

Configure Card \$0 Port \$1 Connection DLCI \$2

Argument substitution also works in passing arguments to the next page. For example, an anchor's file specification on the configure frame relay connection page might invoke a configure connection attribute page passing on the same arguments that the configure frame relay connection page was passed using:

5 /cgi-bin/mibpage/<dir>/config_frconn_attrib.mibpg/\$0.\$1.\$2

Using the techniques described above for argument substitution, a string \$R in a .html or .map file will be replaced with the number of seconds since January 1, 1980 (effectively a random number). Because browsers often cache pages that have previously been sent to the browser, it is possible for a browser to present the user
10 with outdated information. The ability to substitute random numbers is used to ensure that browsers do not provide the user with outdated configuration information. Specifically, by adding a random number to the file specification, the file specification will always look like a different file to the browser. Consequently, the browser will always request a new copy of a page from the server, rather than using the old copy
15 from the browser's cache. Note that clicks on imagemaps are always sent back to the server, so mapfile paths need not have random numbers in them. The files listed in the map file, however, should use random numbers.

EXEMPLARY INLINE COMMANDS

20 According to one embodiment of the invention, an embedded HTTP server/SNMP manager such as interface layer 424 supports the following inline commands:

`/-rnd<random_number>` - this command is used to hold a random number as part of the filename. This field is stripped off the end of the file name, but is otherwise ignored. This command only provides a place for the random number to appear in the file name.

- 5 `/-conf<dot separated string>/` or `/-conf-get/` - The conf command is used to tell the mibscript script that the script should perform an SNMP "set" operation that the user has requested before the script generates any new output. This command is used when a user has requested that the item whose object identifier (OID) is passed as the dot separated string should be set to the value which is the last
- 10 dotted item. The `/-conf-get` format shows that the configuration item will be passed as a "GET" style form so the mibscript script should read and parse the input (as environment variable QUERY_STRING). The `/-conf-get` is used when there is a form on the screen. The forms are generated by the mibscript script based on MIB information. The fields sent back from the browser handling the
- 15 form will be of the format `s<dot separated list>=<value>` or `n<dot separated list>=value` based on whether the item is supposed to be a string item or a numeric item.

- `/-newkey-<field name>-<oid>/` - The new key command tells the mibscript script not to read any file, but to generate a form to allow the user to enter a new numeric
- 20 key. A key is the indexing item to a table row, and the new key command allows the user to generate a new row in the table. The new key command used to generate a new row in a table which can have a variable number of rows, for example, a table that lists connections on a port. Each new connection is keyed

by it's local end DLCI, which, being the local end frame relay address of the connection, provides a unique numeric value for each connection. The new key command will generate the form to allow the user to enter a new DLCI. The file specified with the newkey command is not opened, but the same filename is
5 passed as the file to reference when the form is sent back to the HTTP server for parsing.

When the new-key resulting form is filled in by the user and sent back to the HTTP server, a number of operations are performed. First, the new row is created if it did not exist. In one embodiment, the new row is created by
10 setting an unused column in that row to a fixed value. Then the configure page for that item is invoked, with the parameter list that was included with the /-newkey command. The new key value that the user entered is appended to the parameter list.

/-args - provides one way of specifying general arguments for the current page being
15 displayed. An alternative way of specifying the arguments is to append the arguments on to the end of the file name as a dotted string of numbers as described previously. If there are no parameters, the /-args notation must be used, since there is no way to specify no parameters using the "appending" technique.

20 /-back - specifies where the backlink should point. The rest of the URL specifies the URL backlink, including the /-args for the backlink. The /-back inline command is useful to allow a level or a cgi script to construct the backlink for areas to which it links the user.

/-definition - identifies a request for the server to display the text of the description field of the MIB source file for the given object name.

MANAGEMENT INFORMATION BASE FORMAT

5 A combined HTTP server/SNMP manager must be able to translate requests and responses between the HTTP protocol and the SNMP protocol. In the SNMP protocol, the configuration data of network devices is accessed by requesting operations to be performed on objects identified in Management Information Base (MIB) structures. Figure 5 illustrates a portion of an exemplary MIB structure for a
10 frame-relay-port.

 MIB structures are composed of MIB variables and tables. Each MIB table may be represented as a table of rows and columns where the rows represent the instances of objects, and the columns represent individual configurable parameters. A table row represents a group of parameters and status for a certain configurable object
15 in a system. For example, a table row could be used to hold all parameters and status for a frame-relay-port, or an ATM-port. A MIB table corresponding to the MIB text shown in Figure 5 could be represented as a table with some of the columns and rows shown in Table 1.

TABLE 1.				
Instance (slot.port)	ClockType (.3)	OperStatus (.4)	VerificationTimer (.5)	Description (.6)
1.1				
1.2				
1.3				
2.1				

Each Table has an object identifier (OID) which can be expressed as A.B.C. A table entry has an OID A.B.C.1. The "1" being appended based on SNMP convention. For example, a specific table entry for a specific frame-relay-port will have the OID A.B.C.1.col.row1...rown. In Table 1, two indexes (slot.port) are used to specify each instance. Each cell (i.e. each configurable parameter) of Table 1 would have an OID of A.B.C.col.row1.row2. Thus, clocktype for port 2.1 has an OID of A.B.C.3.2.1.

MIB VALUE FORMATS

The cells of a MIB table may hold different types of values. The most common type of values for configuration variables are integers and octet-strings. Integer values may be of two types, a pure number (which may have a low and high bound) and a list of number/value (enum) pairs. The enum pairs are used to assign meaningful labels to values. For example, ClockType for a frame relay port will have the values:

Value	Name
1	Normal
2	Looped
3	None

Thus, an integrated HTTP server/SNMP manager preferably supports three general types of configurable or status values: Integer (with or without a range), Integer with enum list of names and values, and Octet Strings. For the purposes of explanation, it shall be assumed that the columns illustrated in Table 1 hold values of the types indicated in Table 2.

TABLE 2.				
Column	Type	Permitted values	Configurable	OID
ClockType	Integer Enum (name/value pairs)	1 Normal 2 Looped 3 None	yes	A.B.C.1.3.slot.port
OperStatus	Integer Enum	1 Inactive 2 Active 3 Looped 4 Failed	no	A.B.C.1.4.slot.port
VerificationTimer	Integer Range	Range 5-30	yes	A.B.C.1.5.slot.port
Description	Octet-String	n characters	yes	A.B.C.1.6.slot.port

HTML PAGES

- 10 According to one embodiment of the invention, the integrated HTTP server/SNMP manager transmits "pages" of HTML text to HTTP clients to cause the clients to display the current configuration data of the access device on which the HTTP server resides and to allow the user to specify changes to the configuration data. Each page of html is a presentation of a configurable or statusable object.
- 15 According to one embodiment, each page of HTML generated by the integrated HTTP server/SNMP manager contains one table row of information.

According to one embodiment, each page has several sections. Each section displays information associated with one configurable parameter or one status item. Specifically, each section includes the name of the item and the current value of the item. If the item is a configurable item composed of enum name/value pairs, then the section will also include the names of the available settings. If the item is a configurable item which is a number range or an octet-string, then the section will include a form entry that initially contains the current setting.

According to one embodiment, the item name is a hypertext link to the definition of the item. The names of the potential settings for enum name/value pairs are hypertext links which, when selected, will actually cause that setting to become the current setting. In response to a user entering a new value in a form entry, or selecting a "Change" button associated with a form entry, the configuration data associated with the form entry is set to the new value in the form entry. Figure 6 illustrates the display generated by an HTTP client in response to receiving an HTML page from an HTTP server embedded in a device with a Frame-Relay port.

HTTP SERVER/SNMP MANAGER OPERATION

According to one embodiment, a combined HTTP server/SNMP manager generates HTML text for an access device based on (1) information from an SNMP client embedded in the device and (2) information contained in files "<filename>info.dat" and "<filename>.defs" generated by the SMIC-SNMP MIB Information Compiler available from Carnegie Mellon University and other sources. Figure 5 shows the information contained in these two files that was used to generate

the display shown in Figure 6. The operations performed by a combined HTTP server/SNMP manager to generate the HTML text that produced that screen display illustrated in Figure 6 shall now be described.

Initially, the combined HTTP server/SNMP manager receives from the HTTP
5 client a request to display the table entry for Frame-Relay-Local-Port-Configure-Entry with indexes 2,1. The MIB name for the requested entry is frLportCnfEntry. In response to the request, the combined HTTP server/SNMP manager finds and reads the line from the file "<filename>info.dat" that indicates the OID for the indicated table item. In the present example, the OID for frLportCnfEntry is
10 1.3.6.1.4.1.351.100.4.2.1.1.1. The line 704 in Figure 5 is the line in <filename>info.dat that indicates the OID for frLportCnfEntry.

Once the OID of the target table entry is determined, the OID is used to find and read the lines from the file "<filename>.defs" which contain information about the table entry. In the present example, the lines in "<filename>.defs" that correspond to
15 frLportCnfEntry include lines 706, 708, 710, 712, 714 and 716.

Based on the information contained in lines 706, the combined HTTP server/SNMP manager determines that there are 2 indexes, Port Index, and Slot Index, associated with frLportCnfEntry. The combined HTTP server/SNMP manager processes the entry name frLportCnfEntry by adding spaces before each word, and
20 replacing some abbreviations with their equivalent words. At this point, the combined HTTP server/SNMP manager may generate the HTML text which produces the banner line 802 of screen display 800.

The combined HTTP server/SNMP manager continues searching the <filename>.defs file for entries which contain frLportCnfEntry.<number>. These entries constitute columns within the MIB table. In the present example, the combined HTTP server/SNMP manager next encounters lines 708 in the <filename>.defs file.

- 5 Based on the index values that were received from the HTTP client as part of the request, the combined HTTP server/SNMP manager knows that the value of the first index is 2. The combined HTTP server/SNMP manager processes the column name by adding spaces and expanding abbreviations. The combined HTTP server/SNMP manager then generates the HTML text that will cause an HTTP client to
- 10 display the column name along with its associated value. Section 804 of screen display 800 illustrates the display that may be generated by an HTTP client in response to this HTML text.

- In the illustrated display 800, the column name (e.g. "Frame Relay Local Port Configure Slot) is displayed as a hypertext link. According to one embodiment, a
- 15 user may click on the name of a column to retrieve the definition of the column. Pseudo-code to create such a hypertext link for the "Frame Local Port Configure Slot" column name is:

```
<A HREF="/cgi-bin/mibscript Display_Definition frLportSlotIndex">Frame
Relay Local Port Slot Index:</A>
```

- 20 Using correct HTML syntax, the HTTP server would generate:

```
<A HREF="/cgi-bin/mibscript/frLportSlotIndex/-defintion">Frame Relay Local
Port Slot Index: </A>
```

The HTTP server would then generate the following text to list the value:

```
<UL>
```

2

The procedure described above for generating the HTML text for the first index is repeated for all subsequent indexes. In the present example, lines 708 are the next two lines with frLportCnfENtry.<number> from the <filename>.defs file. Based on the information contained in lines 708, the combined HTTP server/SNMP manager generates the following HTML text:

```
<A HREF="/cgi-bin/mibscript/frLportSlotIndex/-defintion">Frame Relay Local  
Port Port Index: </A>
```

10 The value of the "port" index (i.e. 1) was received from the HTTP client as part of the initial request. To list the value of the port index, the combined HTTP server/SNMP manager generates the HTML text:

1

15

In response to this HTML text, an HTTP client would generate section 806 of screen display 800.

The four lines (lines 712) in the <filename>.defs file that follow the lines for the Port index column (lines 710) correspond to another field ("frLportClockType") in the MIB table. Note that the %e lines immediately follow the main entry line. Based on lines 712, the combined HTTP server/SNMP manager determines that the value associated with the frLportClockType field is an integer value, with name value pairs.

The OID of the frLportClockType field is the OID of the frLportCnfEntry that was previously read from the <filename>info.dat file, with .col.slot.port appended the end. Thus, the OID for the frLportClockType field is

1.3.6.1.4.1.351.100.4.2.1.1.1.col.slot.port, or

- 5 1.3.6.1.4.1.351.100.4.2.1.1.1.3.2.1 . The value for the frLportClockType field is configurable (writable).

- The combined HTTP server/SNMP manager sends a query to the SNMP agent to retrieve the current value for the frLportClockType field. For the purpose of explanation, it shall be assumed that the SNMP agent returns the value "1." The
- 10 combined HTTP server/SNMP manager generates hypertext to display the name of the frLportClockType field using the name expansion techniques described above. The combined HTTP server/SNMP manager also generates HTML text to list the three possible values for the field, where the current value is displayed in boldface. The following is pseudo-code to generate the two possible values that are not the current
- 15 value :

Looped

- 20 None

Actual HTML code to perform this operation is:

<A HREF="/cgibin/mibscript/frLportEnrtry
/-conf1.3.6.1.4.1.351.100.4.2.1.1.1.3.2.1.2/-args2.1" >Looped

```
<A HREF= "/cgi-bin/mibscript/frLportEnrtry  
/-conf1.3.6.1.4.1.351.100.4.2.1.1.1.3.2.1.3/-args2.1" >None</A>
```

Consequently, the entire block of HTML text generated by the HTTP server to display the interface for the frLportClockType field is:

5 Frame Relay Local
Port Clock Type:

```
<UL>
```

```
<LI><STRONG>[Normal]</STRONG>
```

10 <A HREF= "/cgi-bin/mibscript/frLportEnrtry
/-conf1.3.6.1.4.1.351.100.4.2.1.1.1.3.2.1.2/-args2.1" >Looped

```
<LI><A HREF= "/cgi-bin/mibscript/frLportEnrtry  
/-conf1.3.6.1.4.1.351.100.4.2.1.1.1.3.2.1.3/-args2.1" >None</A>
```

```
</UL>
```

Section 808 of screen display 800 is an example of the interface that an HTTP
15 client would generate based on this HTML code.

The lines 714 in the <filename>.defs file that follow the lines related to the
frLportClockType (712) correspond to an item entitled "OperStatus." The information
contained in lines 714 indicate that the data type for OperStatus is also name value
pairs, but that OperStatus is a status item, and is not writable. The combined HTTP
20 server/SNMP manager queries the SNMP agent to get the current value for the
OperStatus item. It shall be assumed that the SNMP agent returns the value "1." The
combined HTTP server/SNMP manager generates HTML code to display the name

and current value of the OperStatus item. In the present example, the HTML code may be:

```
<A HREF="/cgi-bin/mibscript/frLportOperStatus/-definition">Frame Relay Local  
Port Oper Status: </A>
```

5

```
<LI>Inactive
```

```
</UL>
```

Because the OperStatus item is not writable, the combined HTTP server/SNMP manager does not generate HTML text to allow the user to select an alternate value for the OperStatus item. Section 810 of screen display 800 represents the information that could be displayed by an HTTP client in response to this code.

The lines 716 in the <filename>.defs file that follow the lines related to the OperStatus item (lines 714) contain frLportCnfEntry.<number> and correspond to an item entitled "frLportPolVerTmr." The information in lines 716 indicates to the combined HTTP server/SNMP manager that the frLportPolVerTmr item is an integer with a valid range of 5-30. The OID of the frLportPolVerTmr item for relevant row is 1.3.6.1.4.1.351.100.4.2.1.1.1.5.2.1 . The combined HTTP server/SNMP manager transmits a query to the SNMP agent to retrieve the current value of the frLportPolVerTmr item. In the present example, the current value is 5. The combined HTTP server/SNMP manager generates HTML text to create a form block and a form entry which says:

```
<FORM METHOD="get" ACTION="configure (read form input and configure  
from it using the "get" form reading method) then display frLportCnfEntry(2,1)>
```

```
<INPUT NAME="When this is sent back, set integer  
1.3.6.1.4.1.351.100.4.2.1.1.1.5.2.1 to the value the user entered"  
INITIAL_VALUE="5">
```

```
<INPUT TYPE="submit" NAME="Change">
```

- 5 The actual HTML text generated by HTTP server would be:

```
<FORM ACTION="/cgi-bin/mibscript/frLportCnfEntry/-conf-get/-args2.1"  
METHOD="get">
```

```
<INPUT NAME="n1.3.6.1.4.1.351.100.4.2.1.1.1.5.2.1" TYPE="text"  
VALUE="5">
```

- 10 <INPUT TYPE="submit" NAME="Change">

```
<A HREF="/cgi-bin/mibscript/frLportPolVerTmr/-defintion">Frame Relay Local  
Port Pol Ver Timer: </A>
```

```
<FORM ACTION="/cgi-bin/mibscript/frLportCnfEntry/-conf-get/-args2.1"  
METHOD="get">
```

- 15

```
<LI>5
```

```
<LI>To change enter new value in the range 5-30 and select Change
```

```
<LI> <INPUT NAME="n1.3.6.1.4.1.351.100.4.2.1.1.1.5.2.1" TYPE="text"  
VALUE="5">
```

- 20 <INPUT TYPE="submit" NAME="Change">

```
</UL>
```

Section 812 of screen display 800 represents the information that could be displayed by an HTTP client in response to this code.

The line (line 718) in the <filename>.defs file that follows the lines 716 related to the frLportPolVerTmr item corresponds to an item entitled "frLportDescrip."

- 5 The frLportDescrip item has no %e lines. The information in line 718 indicates to the combined HTTP server/SNMP manager that the frLportDescrip item is a description field which is configurable, and that the value type of the field is an octet string. The HTML text used to handle octet string is similar to that used for integers, except that the input field of the form is called "s<oid>" instead of "n<oid>" to indicate that the
- 10 input field should be treated as a string instead of a number. Also, a <FORM> command is not needed, since one was emitted for the previous section, and only one <FORM> command is needed per HTML page. The specific HTML text generated by the HTTP server for the frLportDescrip item is:

- 15 Frame Relay Local Port Descrip:
-
- 5
- To change enter new string and select Change
- 20 <INPUT NAME="s1.3.6.1.4.1.351.100.4.2.1.1.1.6.2.1" TYPE="text" VALUE="IBM Mainframe Port">
- <INPUT TYPE="submit" NAME="Change">
-

Section 814 of screen display 800 represents the information that could be displayed by an HTTP client in response to this code.

In the foregoing specification, the invention has been described with reference to specific embodiments thereof. It will, however, be evident that various
5 modifications and changes may be made thereto without departing from the broader spirit and scope of the invention. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense.

APPENDIX I

```
<HTML> <HEAD> <TITLE> FrameRelay Local Port Configure Slot 2 Port 1
</TITLE></HEAD>
```

```
5 <BODY><H2>FrameRelay Local Port Configure Slot 2 Port 1 </H2><HR>
```

```
<A HREF="/cgi-bin/mibscript/frLportSlotIndex/-definition">Frame Relay Local Port
Slot Index: </A>
```

```
10 <UL>
```

```
<LI>2
```

```
</UL>
```

```
15 <A HREF="/cgi-bin/mibscript/frLportSlotIndex/-definitoin">Frame Relay Local Port
Port Index: </A>
```

```
<UL>
```

```
20 <LI>1
```

```
</UL>
```

```
25 <A HREF="/cgi-bin/mibscript/frLportClockType/-definition">Frame Relay Local Port
Clock Type: </A>
```

```
<UL>
```

```
30 <LI><STRONG>[Normal]</STRONG>
```

```
<LI><A HREF=
"/cgi-bin/mibscript/frLportEnrtry/-conf1.3.6.1.4.1.351.100.4.2.1.1.1.3.2.1.2/-args2.1"
>Looped</A>
```

```
35 <LI><A HREF=
"/cgi-bin/mibscript/frLportEnrtry/-conf1.3.6.1.4.1.351.100.4.2.1.1.1.3.2.1.2/-args2.1"
>None</A>
```

```
40 </UL>
```

```
<A HREF="/cgi-bin/mibscript/frLportOperStatus/-definition">Frame Relay Local Port
Oper Status: </A>
```

```
45 <UL>
```

```
<LI>Inactive
</UL>
5  <A HREF="/cgi-bin/mibscript/frLportPolVerTmr/-definition">Frame Relay Local Port
    Pol Ver Timer: </A>
10  <FORM ACTION="/cgi-bin/mibscript/frLportCnfEntry/-conf-get/-args2.1"
    METHOD="get">
    <UL>
    <LI>5
15  <LI>To change enter new value in the range 5-30 and select Change
    <LI> <INPUT NAME="n1.3.6.1.4.1.351.100.4.2.1.1.1.5.2.1" TYPE="text" VALUE="5">
20  <INPUT TYPE="submit" NAME="Change">
    </UL>
25  <A HREF="/cgi-bin/mibscript/frLportDescrip/-definition">Frame Relay Local Port
    Descrip: </A>
    <UL>
30  <LI>5
    <LI>To change enter new string and select Change
    <LI><INPUT NAME="s1.3.6.1.4.1.351.100.4.2.1.1.1.6.2.1" TYPE="text"
35  VALUE= "IBM Mainframe Port">
    <INPUT TYPE="submit" NAME="Change">
    </UL>
40  </FORM> </BODY> </HTML>
```

CLAIMS

What is claimed is:

- 1 1. A network device with a multi-layer management interface comprising:
2 a first interface layer configured to
3 receive a first set of messages from a first set of sources according to a
4 first protocol;
5 send a second set of messages according to a second protocol in
6 response to said first set of messages; and
7 send responses to said first set of sources according to said first
8 protocol; and
9 a second interface layer configured to
10 receive a third set of messages from a second set of sources according
11 to said second protocol, wherein said second set of sources
12 includes said first interface layer and said third set of messages
13 includes at least one message of said second set of messages;
14 update configuration data of said network device in response to
15 receiving said third set of messages; and
16 send responses to said third set of sources according to said second
17 protocol.
- 1 2. The network device of Claim 1 wherein at least one of said first protocol and
2 said second protocol is Hypertext Transport Protocol (HTTP).

1 3. The network device of Claim 2 wherein at least one of said first protocol and
2 said second protocol is Simple Network Management Protocol (SNMP).

1 4. The network device of Claim 1 wherein:
2 the second protocol is SNMP;
3 the first interface layer includes an SNMP manager; and
4 the second interface layer includes an SNMP agent.

1 5. The network device of Claim 1 further including a third interface layer
2 configured to:
3 receive commands from a user;
4 send a fourth set of messages to said first interface layer according to said first
5 protocol in response to said commands;
6 receive responses to said fourth set of messages from said first interface layer
7 according to said first protocol; and
8 generate a display to said user based on messages received from said first
9 interface layer according to said first protocol.

1 6. The network device of Claim 5 wherein:
2 the network device is connected to a second network device that includes
3 a fourth interface layer,
4 a fifth interface layer; and
5 a second set of configuration variables;

6 the fourth interface layer is configured to
7 receive messages from said third interface layer of said network device
8 according to said first protocol;
9 send messages to said fifth interface layer according to said second
10 protocol in response to said messages from said third interface
11 layer; and
12 send responses to said third interface layer according to said first
13 protocol; and
14 the fifth interface layer is configured to
15 receive messages from the fourth interface layer according to said
16 second protocol;
17 update configuration data of said network device in response to
18 receiving messages from said fourth interface layer; and
19 send responses to said fourth interface layer according to said second
20 protocol.

- 1 7. A method for managing a network device, the method comprising the steps of:
2 executing a first software layer to generate a user interface;
3 said first software layer receiving user input that specifies a change to
4 configuration data stored in said network device;
5 in response to said user input, said first software layer transmitting a first
6 message to a second software layer using Hypertext Transport

7 Protocol, wherein said second software layer resides in said network
8 device;
9 in response to said first message, said second software layer transmitting a
10 second message to a third software layer using Simple Network
11 Management Protocol, wherein said third software layer resides in said
12 network device; and
13 in response to said second message, said third software layer changing said
14 configuration data as specified by said user input.

1 8. The method of Claim 7 wherein the step of executing said first software layer
2 is performed by executing a software layer that resides in said network device.

1 9. The method of Claim 7 wherein:
2 the method further comprises the step of causing said second software layer to
3 send a Hypertext Markup Language document to said first software
4 layer; and
5 the first software layer generates said user interface based on said Hypertext
6 Markup Language document.

1 10. The method of Claim 9 wherein:
2 the Hypertext Markup Language document contains an anchor that includes
3 information identifying a Management Information Base object;

4 the step of said first software layer receiving user input that specifies a change
5 to configuration data is performed by detecting when said user selects a
6 user interface component associated with said anchor;
7 the first message includes said information identifying said Management
8 Information Base object;
9 the second message includes said information identifying said Management
10 Information Base object; and
11 the step of changing said configuration data includes changing configuration
12 data that corresponds to said Management Information Base object.

1 11. The method of Claim 9 further comprising the step of causing said second
2 software layer to generate said Hypertext Markup Language document by
3 performing the steps of:
4 receiving an object identifier for a Management Information Base object;
5 using said object identifier to search one or more files for entries associated
6 with said Management Information Base object; and
7 for each entry associated with said Management Information Base object,
8 generating Hypertext Markup Language text that, when decoded by an
9 HTTP client, will cause the client to display information contained in
10 said entry.

1 12. The method of Claim 11 wherein the step of using said object identifier to
2 search one or more files includes using said object identifier to search one or

3 more files generated from a Management Information Base file that contains
4 said Management Information Base object.

1 13. The method of Claim 11 wherein the step of generating Hypertext Markup
2 Language text for an entry includes the steps of:
3 transmitting a query to said third software layer to retrieve a current value
4 associated with a second Management Information Base object, said
5 second Management Information Base object being a component of
6 said Management Information Base object that is identified in said
7 entry;
8 receiving from said third software layer said current value associated with said
9 second Management Information Base object; and
10 generating Hypertext Markup Language text that, when decoded by an HTTP
11 client, causes the HTTP client to generate a display that identifies said
12 second Management Information Base object and displays said current
13 value of said second Management Information Base object.

1 14. The method of Claim 13 wherein the step of generating Hypertext Markup
2 Language text for said entry further includes the steps of generating an anchor
3 that contains a second MIB object identifier, wherein said second MIB object
4 identifier uniquely identifies said second MIB object.

1 15. The method of Claim 14 further comprising the steps of:

2 said second software layer receiving from said first software layer said second
3 MIB object identifier and a new value for said second MIB object in
4 response to a user selecting said display that identifies said second
5 MIB object; and
6 said second software layer transmitting command to said third software layer
7 to cause said third software layer to update configuration data
8 associated with said second MIB object to said new value.

1 16. The method of Claim 7 wherein:
2 the user input that specifies a change to configuration data associated with a
3 MIB object;
4 said second software layer generates an HTML document which includes
5 HTML text for identifying said MIB object and for displaying a new
6 value for said MIB object;
7 said second software layer transmits said HTML document to said first
8 software layer; and
9 said first software layer generates an updated display based on said HTML
10 document, wherein said updated display identifies said MIB object and
11 displays said new value for said MIB object.

1 17. The method of Claim 16 wherein said second software layer generates said
2 HTML document with an anchor that includes a MIB object identifier of said
3 MIB object.

1 18. The method of Claim 7 wherein:
2 the user input that specifies a change to configuration data associated with a
3 MIB object; and
4 the first message includes a MIB object identifier of said MIB object.

1 19. An access device having embedded therein:
2 a combined text-interface generator and HTTP client;
3 a combined HTTP server and SNMP manager; and
4 an SNMP agent;
5 wherein the SNMP agent has direct access to configuration data stored in said
6 access device;
7 wherein the combined HTTP server and SNMP manager only accesses said
8 configuration data by sending messages to said SNMP agent; and
9 wherein the combined text-interface generator and HTTP client only accesses
10 said configuration data by sending messages to said combined HTTP
11 server and SNMP manager which cause said combined HTTP server
12 and SNMP manager to send messages to said SNMP agent.

1 20. The access device of Claim 19 wherein:
2 the combined HTTP server and SNMP manager generates HTML documents
3 that include anchors that contain identifiers for MIB objects; and

4 the combined text-interface generator and HTTP client transmits to the
5 combined HTTP server and SNMP manager messages that contain
6 identifiers for MIB objects in response to input received from a user.

1 21. A method for automatically generating HTML based on MIB information, the
2 method comprising the steps of:
3 receiving from an HTTP client a message that identifies a MIB item;
4 reading said MIB information to determine a type of said MIB item;
5 requesting a current value from an SNMP agent for said MIB item;
6 generating an HTML page which, when decoded by the HTTP client, causes
7 the HTTP client to generate a display that indicates the current value for
8 said MIB item; and
9 transmitting the HTML page to the HTTP client.

1 22. The method of Claim 21 wherein:
2 the step of receiving from an HTTP client a message that identifies a MIB item
3 includes receiving a message that identifies a row in a MIB table;
4 the step of reading said MIB information to determine a type of said MIB item
5 includes reading said MIB information to determine the type for each
6 MIB variable in the row;
7 the step of requesting a current value from an SNMP agent for said MIB item
8 includes requesting current values for each MIB variable in the row;

9 the step of generating an HTML page includes generating an HTML page
10 which, when decoded by the HTTP client, causes the HTTP client to
11 generate a display that indicates the current values for at least one MIB
12 variable in the row.

1 23. The method of Claim 21 wherein:
2 the message includes a string of text that indicates a file name; and
3 the method further includes the step of reading from the string of text
4 arguments that identify the MIB item.

1 24. The method of Claim 23 wherein the step of generating said HTML page
2 includes replacing text from a template HTML page with text that is based on
3 said arguments.

1 25. The method of Claim 23 wherein the step of generating said HTML page
2 includes inserting into an anchor of said HTML page text that is based on said
3 arguments.

1 26. The method of Claim 21 wherein:
2 the step of generating said HTML page includes generating an anchor in said
3 HTML page that includes a command;
4 the method further includes the steps of

5 receiving the command from the HTTP client when a user selects a
6 - hypertext link associated with the anchor; and
7 transmitting a request for an SNMP operation to the SNMP agent in
8 response to receiving the command from the HTTP client.

1 27. The method of Claim 26 wherein:
2 the anchor further includes an identifier of a second MIB item and a value; and
3 the step of transmitting a request to the SNMP agent includes transmitting a
4 request for the variable corresponding to the second MIB item to be set
5 to said value.

ABSTRACT OF THE DISCLOSURE

A method and apparatus for managing a network access device is provided.

Embedded within the access device are three distinct software layers. The first layer is a combined text-interface generator and Hypertext Transport Protocol client. The

- 5 second layer is a combined Hypertext Transport Protocol server and Simple Network Management Protocol manager. The third layer is a Simple Network Management Protocol agent that has direct access to the configuration data of the access device. A user can manage the device through the embedded text-interface generator, by using an external HTTP client to communicate with the embedded HTTP server, or by using
- 10 an external SNMP manager to communicate with the embedded SNMP agent.

Techniques are disclosed for embedding SNMP information in messages passed between HTTP clients and servers.

santa-sb# **conf t**

Enter configuration commands, one per line.
Edit with DELETE CTRL/W, CTRL/U; end with CTRL/Z

interface serial 1
encapsulation ppp
^Z

santa-sb# **sh int s 1**

Serial 1 administratively down, line protocol is down
Hardware is MCI Serial
MTU 1500 bytes, BW 1544 Kbit, DLY 20000 usec, rely 255/255, load 1/255
Encapsulation PPP, loopback not set, keepalive set (10 sec)

Fig. 1
(Prior art)

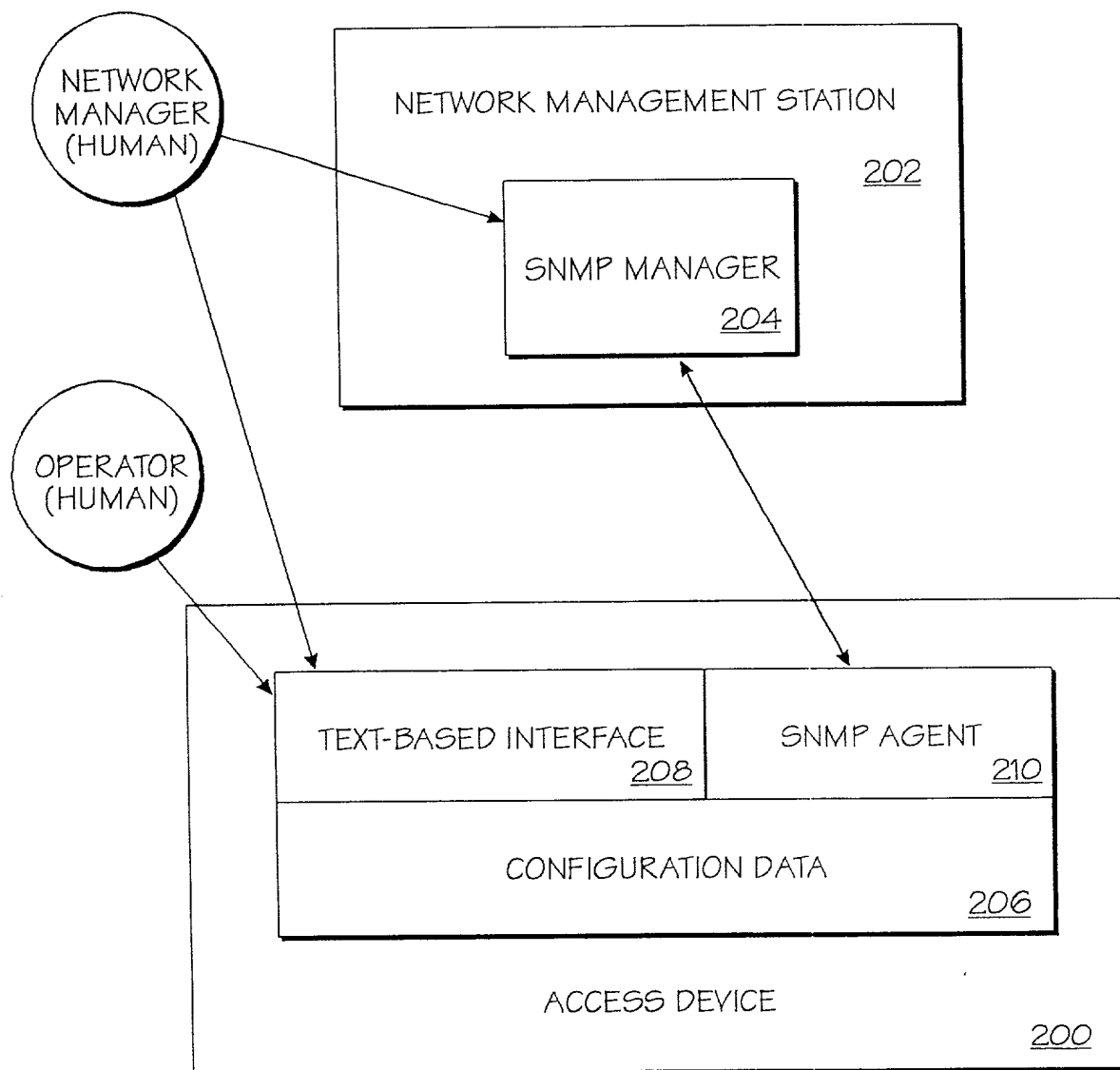


Fig. 2
(Prior art)

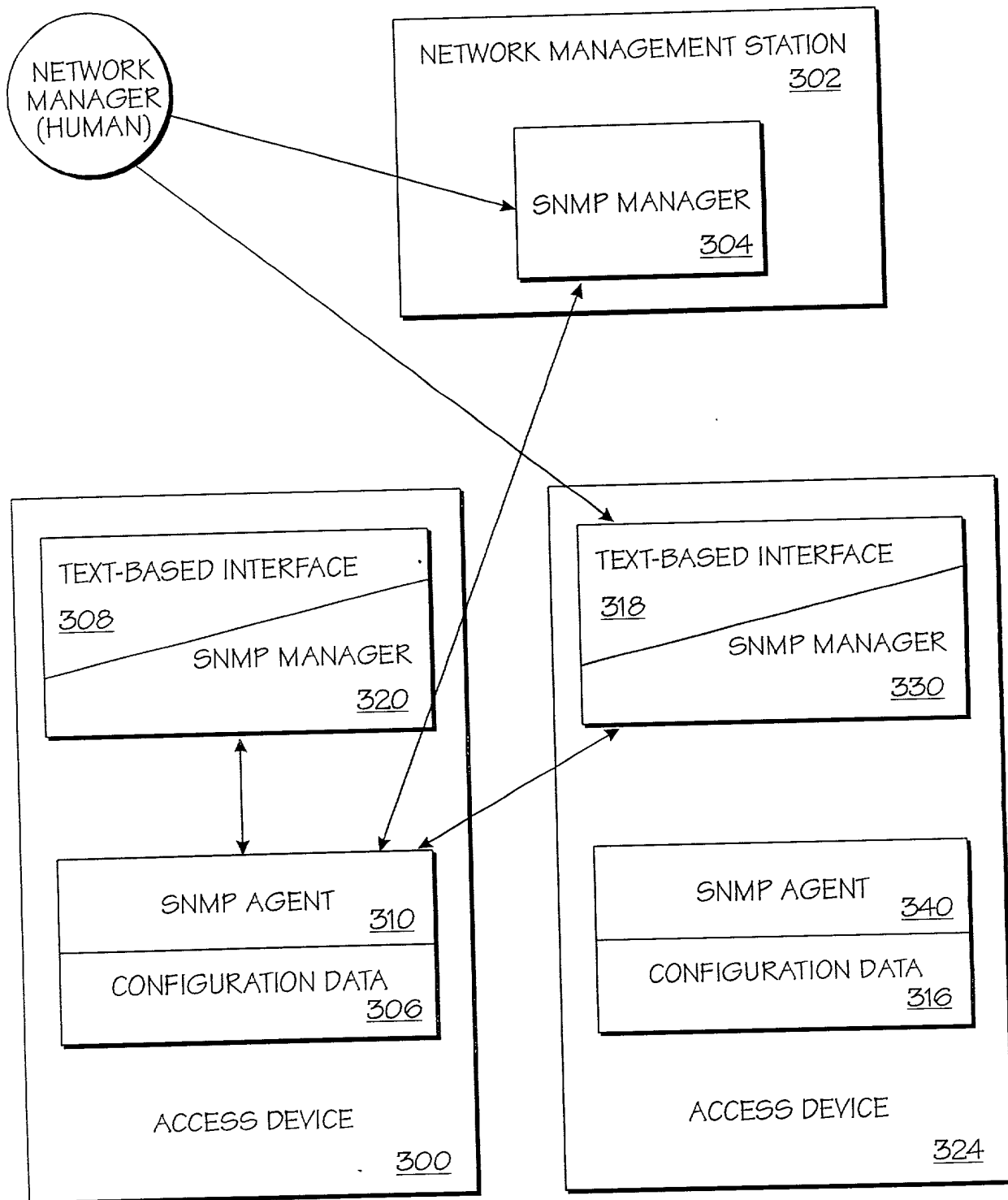


Fig. 3
(Prior art)

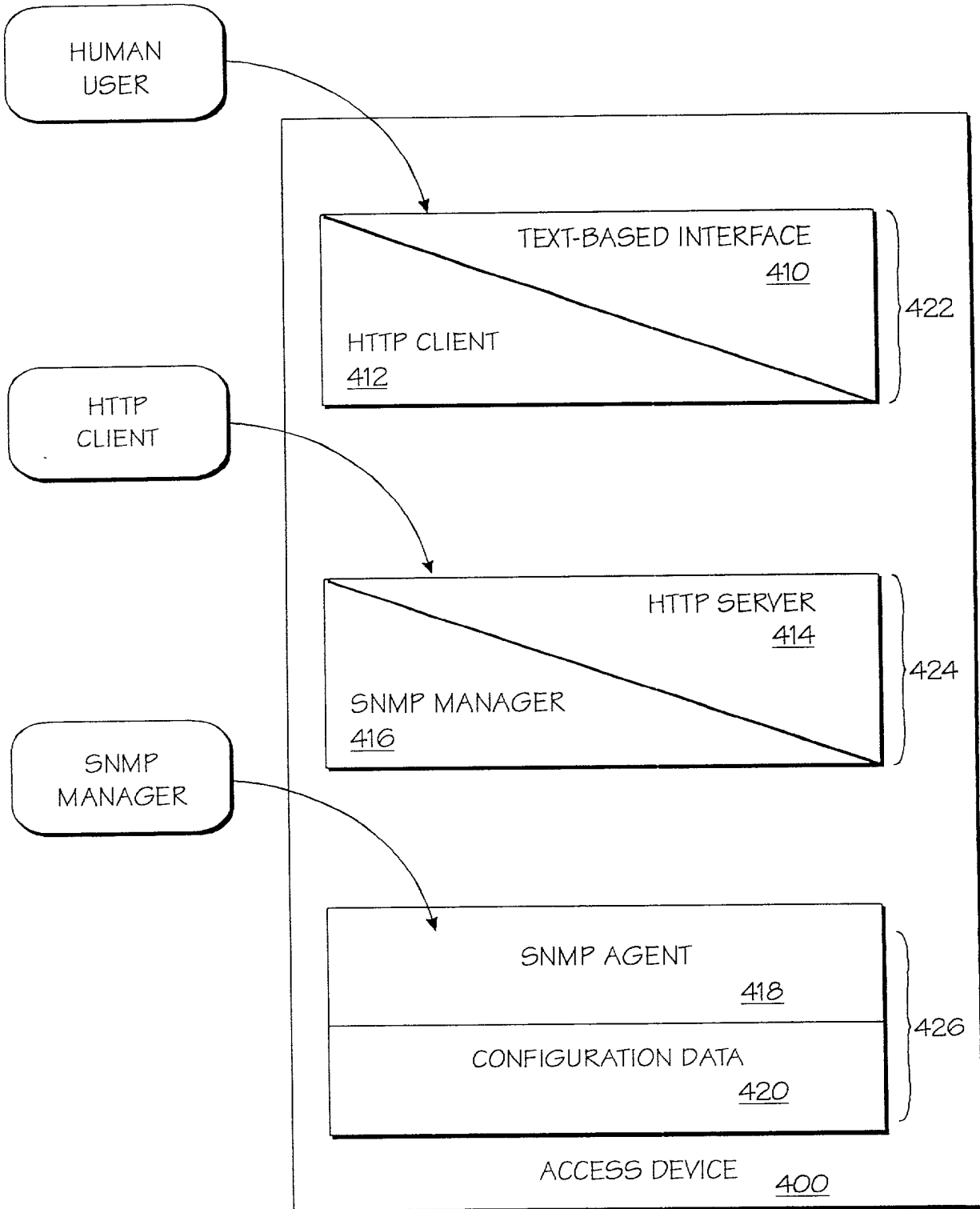


Fig. 4

```

5.1 <filename>onf.dat
1) flportCntEntry 1.3.6.1.4.1.351.100.4.2.1.1 Aggregate not-accessible

704 {
    5.2 <filename>defs

706 {
    1) flportCntEntry flportCntTable.1 Aggregate not-accessible mandatory
    2) %ei flportCntEntry "flportSlotIndex" flportPortIndex

708 {
    3) flportPortIndex flportCntEntry.1 INTEGER read-only mandatory
    4) %er flportSlotIndex 1 32
    5) flportPortIndex flportCntEntry.2 INTEGER read-only mandatory
    6) %er flportPortIndex 1 32

710 {
    7) flportClockType flportCntEntry.3 INTEGER read-write mandatory
    8) %ev flportClockType normal 1
    9) %ev flportClockType looped 2
    10) %ev flportClockType none 3

712 {
    11) flportOperStatus flportCntEntry.4 INTEGER read-only mandatory
    12) %ev flportOperStatus inactive 1
    13) %ev flportOperStatus active 2
    14) %ev flportOperStatus looped 3
    15) %ev flportOperStatus failed 4
    16) flportPollerTmr flportCntEntry.5 INTEGER read-write mandatory
    17) %er flportPollerTmr 5 30
    18) %er flportDescr flportCntEntry.6 Octetstring read-write mandatory

716 {
718 {

```

EXEMPLARY MIB TEXT FOR A FRAME-RELAY PORT

Fig. 5

800



802 { FrameRelay Local Port Configure Slot 2 Port1

804 { Frame Relay Local Port Configure Slot:
• 2

806 { Frame Relay Local Port Configure Slot:
• 1

808 { Frame Relay Local Port Configure Clock Type:
• [Normal]
• Looped
• None

810 { Frame Relay Local Port Configure Oper Status :
• Inactive

812 { Frame Relay Local Port Configure Verification Timer :
• 5
• To change, enter new value in the range 5-30 and select Change
• 51

814 { Frame Relay Local Port Configure Description :
• IBM Mainframe Port
• To change, enter new string and select change
•

.Fig. 6

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD AND APPARATUS FOR PROVIDING MULTIPLE MANAGEMENT
INTERFACES TO A NETWORK DEVICE**

the specification of which

 is attached hereto.
 X was filed on July 19, 1996 as
United States Application Number 08/684,130
or PCT International Application Number
and was amended on
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>	<u>Priority Claimed</u>
<u> </u> (Number) <u> </u> (Country) <u> </u> (Day/Month/Year Filed)	<u> </u> Yes <u> </u> No
<u> </u> (Number) <u> </u> (Country) <u> </u> (Day/Month/Year Filed)	<u> </u> Yes <u> </u> No
<u> </u> (Number) <u> </u> (Country) <u> </u> (Day/Month/Year Filed)	<u> </u> Yes <u> </u> No

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Rev. 10/01/96 (D2) cak

-1-

on 12/10/96
Date of Deposit
JENNIFER C. PASK
Name of Person Mailing Correspondence
Jennifer C. Pask 12/10/96
Signature Date

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

(Application Number)	Filing Date
----------------------	-------------

(Application Number)	Filing Date
----------------------	-------------

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number)	Filing Date	(Status -- patented, pending, abandoned)
----------------------	-------------	---

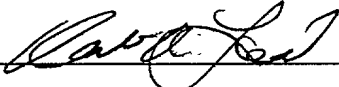
(Application Number)	Filing Date	(Status -- patented, pending, abandoned)
----------------------	-------------	---

I hereby appoint Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Kent M. Chen, Reg. No. 39,630; Lawrence M. Cho, Reg. No. 39,942; Thomas M. Coester, Reg. No. 39,637; Roland B. Cortes, Reg. No. 39,152; William Donald Davis, Reg. No. 38,428; Daniel M. De Vos, Reg. No. 37,813; Karen L. Feisthamel, Reg. No. 40,264; David R. Halvorson, Reg. No. 33,395; Eric Ho, Reg. No. 39,711; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; Stephen L. King, Reg. No. 19,180; Dolly M. Lee, Reg. No. 39,742; Michael J. Mallie, Reg. No. 36,591; Kimberley G. Nobles, Reg. No. 38,255; Ronald W. Reagin, Reg. No. 20,340; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Allan T. Sponseller, Reg. No. 38,318; Steven R. Sponseller, Reg. No. 39,384; David R. Stevens, Reg. No. 38,626; Edwin H. Taylor, Reg. No. 25,129; Lester J. Vincent, Reg. No. 31,460; John Patrick Ward, Reg. No. 40,216; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my attorneys; and Gary B. Goates, Reg. No. 35,159; Michael Anthony DeSanctis, Reg. No. 39,957; Charles E. Shemwell, Reg. No. 40,171; Edwin A. Sloane, Reg. No. 34,728; and Judith A. Szepesi, Reg. No. 39,393; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Lester J. Vincent, BLAKELY, SOKOLOFF, TAYLOR &
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(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

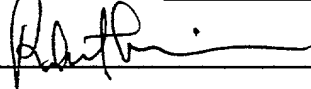
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Inventor's Signature _____ Date _____

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(City, State) (Country)

Post Office Address _____

Full Name of Fourth/Joint Inventor _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____
(City, State) (Country)

Post Office Address _____

Full Name of Fifth/Joint Inventor _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____
(City, State) (Country)

Post Office Address _____

Full Name of Sixth/Joint Inventor _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____
(City, State) (Country)

Post Office Address _____

Full Name of Seventh/Joint Inventor _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____
(City, State) (Country)

Post Office Address _____

Title 37, Code of Federal Regulations, Section 1.56
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.